

**(FUND DESIGNATION RECORD - TYPE 09)**

Format/Edits

Field No.	Field Name	Begin Pos	Size	Picture	Field Edits
1	Record Type	1	2	9(02)	Required. Must be 09.
2	Reporting Organization	3	2	X(02)	Required. Edit with RO/Company table.
3	Location State	5	2	9(02)	Required. Edit with FIPS State table.
4	Company	7	3	9(03)	For Reinsured edit with company table.
5	Policy Number	10	7	9(07)	Required. Must be > zeros.
6	Crop Year	17	4	9(04)	Required. Must be the crop year of the crops reported under the policy. This will equal the Reinsurance Year +/- 1.
7	Crop Code	21	4	9(04)	Required.
8	Insurance Plan Code	25	2	9(02)	Required. A valid plan code is required for DAS processing for carryover contracts. New policy must match ADM.
9	Location County	27	3	9(03)	Required; Edit with FIPS County Table.
10	Filler	30	5	X(05)	Must be Spaces.
11	Type Code	35	3	9(03)	Optional for new policies (See Note 2) if submitted will be edited against ADM. Zero fill for carryover policies.
12	Practice Code	38	3	9(03)	Optional for new policies (See Note 2) edited with ADM. Zero fill for carryover policy.
13	Filler	41	1	X(01)	Must be Spaces.
14	Type 09 Key Reserve	42	34	X(34)	Space Reserved for Additional key data required in the future or for other record types. Must be spaces or blanks.
15	Record Number	76	3	9(03)	Must be > zero and unique within a Crop Policy (fields 2 thru 9) and field 11 for California grapes. Otherwise, zero fill.
16	Primary Fund Designation Flag	79	1	X(01)	Required; must be one of the following: A = Assigned Risk Fund C = Commercial Fund D = Development Fund
17	High Risk CAT Fund Flag	80	1	X(01)	If applicable must be: A = Assigned Risk Fund; else spaces. C = Commercial Fund D = Development Fund
18	Reserve Fund Flag	81	1	X(01)	Must be spaces.

**(FUND DESIGNATION RECORD - TYPE 09)**

Format/Edits

Field No.	Field Name	Begin Pos	Size	Picture	Field Edits
19	Primary Assigned Risk Fund Rule	82	1	9(01)	<p>If Primary Fund Designation Flag = A; must be one of the following:</p> <p>0 = Not Applicable</p> <p>1 = Designated to Assigned Risk Fund</p> <p>2 = Designated with Alternate Crop Extension to Assigned Risk Fund (Coverage flag on 14 Record must be "C" (Cat).</p> <p>3 = Designated with written agreement extension to assigned risk fund (written agreement issued date is required)</p> <p>4 = Policies timely designated to the Developmental Fund transferred to the Assigned Risk Fund by the assigned risk cut off date</p> <p>5 = Subsequent multi county policy crop county record submitted after fund cutoff. Requires timely submitted record with a "P" in the Multiple County Flag with the same fund designation.</p>
20	Reserved	83	1	X(01)	Must be spaces.
21	Primary Development Fund Rule	84	1	9(01)	<p>If Primary Fund Designation Flag = D; must be one of the following:</p> <p>0 = Not Applicable</p> <p>1 = New policy designated to the Development fund Field (25 must be 0)</p> <p>2 = Carry over policy designated to the Development fund (Field 25 must be 1)</p> <p>3 = Reserved</p> <p>4 = Subsequent multi county policy crop county record submitted after fund cutoff. Requires timely submitted record with a "P" in the Multiple County Flag with the same fund designation.</p>
22	Primary Reserved Rule	85	1	9(01)	Space reserved for additional rules that may be required at a future time.
23	Signature Date for the Crop	86	8	9(08)	Only allowed for new Florida Fruit Trees and Nursery policies.
24	M-14 Review Flag	94	2	9(02)	Must be zeros.
25	Contract Fund Flag	96	1	9(01)	<p>0 = For new contracts</p> <p>1 = Contract carried over from previous year. A carryover contract is defined in the SRA as one in which the entity had insurance on the same crop and plan code with the same company in the state and county the previous year.</p>

**(FUND DESIGNATION RECORD - TYPE 09)**

Format/Edits

Field No.	Field Name	Begin Pos	Size	Picture	Field Edits
26	Written Agreement Number	97	8	X(08)	For RSO issued written agreements enter the identification number for the approved written agreement for the RO, state, county and crop. Enter spaces if <u>NO</u> written agreement exists.
27	Written Agreement Issued Date	105	8	9(08)	Format is MMDDCCYY. Required if fund cutoff date is later due to written agreement. If Assigned Risk Fund rule = 3, then Fund Designation cutoff is 30 days from RSO Written Agreement issue date.
28	Written Agreement Type	113	2	X(02)	Enter the associated value below for the type of Written Agreement issued by the RMA Regional Office. Enter spaces if <u>NO</u> Written Agreement is in effect. Enter '33' if the insurance rate is provided on a FCI-33 (rules page, map or supplement). All entries, except a "33" require a valid Written Agreement Number in field 26.
29	Written Agreement Processing Flag	115	2	X(02)	All single values must be left justified. Must be: H = ONLY for CRC High Risk land WITH a FCI-2 Agreement that changes the High Risk rate or factor. USE the High Risk Classification Premium Calculation Worksheet to calculate the premium. P = FCI-2 Dollar Amount of Insurance exception for Macadamia Trees. R = FCI-2 Agreement with a Reference County. W = FCI-2 Agreement with no Reference County 3 = FCI-33 (rules page, map or Supplement) Rates Otherwise, spaces. See Exhibit 11-8 for edit details.
30	Rate State	117	2	9(02)	Required. Edit with FIPS State Table. See Note 4.
31	Rate County	119	3	9(03)	Required. Edit with FIPS County Table. See Note 4.
32	Primary Fund Cutoff Date	122	8	9(08)	Internal use: Cutoff date determined by DAS for the primary fund.
33	HRC Cutoff Date	130	8	9(08)	Internal use: Cutoff date determined by DAS.

**(FUND DESIGNATION RECORD - TYPE 09)**

Format/Edits

Field No.	Field Name	Begin Pos	Size	Picture	Field Edits
34	Multiple County Flag	138	1	X(01)	Applicable if producer selected multiple county option on application. Otherwise, spaces. Enter "P" on the primary record for the policy crop county. This will establish the fund subsequent policy crop county fund records will use. Enter "S" on subsequent policy crop county records submitted after the fund cutoff date.
35	HRC Assigned Risk Fund Rule	139	1	9(01)	If HRC Fund Designation Flag = A; must be one of the following: 0 = Not Applicable 1 = Designated to Assigned Risk Fund 2 = Designated with Alternate Crop Extension to Assigned Risk Fund (Coverage flag on 14 Record must be "C" (Cat). 3 = Designated with written agreement extension to assigned risk fund (written agreement issued date is required) 4 = Policies timely designated to the Developmental Fund transferred to the Assigned Risk Fund by the assigned risk cut off date
36	Reserved	140	1	X(01)	Must be spaces.
37	HRC Development Fund Rule	141	1	9(01)	If HRC Fund Designation Flag = D; must be one of the following: 0 = Not Applicable 1 = New policy designated to the Development fund Field (25 must be 0) (See Note) 2 = Carry over policy designated to the Development fund (Field 25 must be 1) (See Note)
38	HRC Reserved Rule	142	1	9(01)	Space reserved for additional rules that may be required at a future time.
39	Filler	143	71	X(71)	Must be spaces.
40	Original Submission Time	214	8	X(08)	Internal Use. The time that the record settings were established. Format is HH:MM:SS.
41	Original Submission Date	222	8	9(08)	Internal Use. The date that the record settings were established. Format is MMDDCCYY.
42	Filler	230	321	X(321)	Must be spaces.

**(FUND DESIGNATION RECORD - TYPE 09)**

Format/Edits

Field No.	Field Name	Begin Pos	Size	Picture	Field Edits
43	FCIC Control Time	551	4	9(04)	Internal Use. The time the transaction batch file was received. (From when transmission started) HHMM Format.
44	FCIC Control Date	555	8	9(08)	Internal Use. The date the transaction batch file was received. (From when transmission started) MMDDCCYY Format.
45	Reinsurance Year	563	4	9(04)	Internal Use. The Reinsurance Year. CCYY format.
46	Batch Number	567	4	9(04)	Internal Use. The sequential number identifying the file that was submitted by the RO to FCIC/RMA.
47	Transaction Sequence Number	571	8	9(08)	Internal Use. The sequential number assigned to each transaction number processed by DAS <u>after it has been sorted</u> .
48	Transaction Rejected Flag	579	1	X(01)	Internal. Reserved
49	Transaction Source Flag	580	1	X(01)	Internal. Reserved
50	Filler	581	20	X(20)	Internal.

**Notes:**

1. A Type 10 record is required for acceptance of the Type 9 record. The type 9 record must pass all edits to establish fund designation.
2. If the Sales Closing Date used for **New** policy fund designations varies by Plan, Type or Practice, the DAS lookup will use them to determine the Sales Closing Date if submitted, otherwise DAS will use the earliest Sales Closing Date for the county/crop/plan code to establish the fund cutoff date for **New** policies.

For carryover policies, the earliest Sales Closing Date for Assigned Risk will be used to establish the fund cutoff date based on crop/county only.

For Carryover policies, the Contract Change Date for the county, crop and plan code will be used to establish the Developmental fund cut off date.

Type and practice is not allowed for carryover policies.

3. If the Fund Designation lock down date is later than the “normal” lockdown date, due to a written agreement a Fund Rule 3 and a written agreement issue date (field 27) is required.
4. Rate State and Rate County can only be different from Location State and County if a written agreement is in effect. ADM 3 lookup is based on Rate State Rate County.
5. Accepted Type 9 Records will be in the ‘.acp’ and ‘.fun’ output files.